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**PATENT APPLICATION  
Q91310**

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

In re application of

Masafumi SAKUMA, *et al.*

Appln. No.: 10/561,215

Group Art Unit: 2834

Confirmation No.: 4045

Examiner: Not yet assigned

Filed: December 19, 2005

For: THREE-PHASE SYNCHRONOUS RELUCTANCE MOTOR

**STATEMENT UNDER 37 C.F.R. §1.97(e)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned attorney hereby states that, based upon information and belief, each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Steven M. Gruskin  
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WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: April 10, 2007



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**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§1.97 AND 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents listed on the attached Form PTO/SB/08 A & B (modified), which the Examiner may deem material to the patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) no later than three months from the application's filing date; or (2) before the mailing date of the first Office Action on the merits (whichever is later); or (3) before the mailing date of the first Office Action after filing a Request for Continued Examination (RCE) under 37 C.F.R. §1.114 and, therefore, no Statement under 37 C.F.R. §1.97(e) or fee under 37

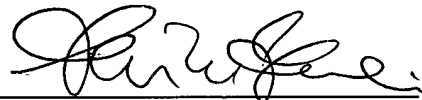
C.F.R. §1.17(p) is required. Nevertheless, inasmuch as a Statement Under 37 C.F.R. §1.97(e) **can** be made, Applicants submit the same concurrently herewith.

In compliance with the concise explanation requirement under 37 C.F.R. §1.98(a)(3) for foreign language documents, Applicants enclose herewith a copy of a Communication from the Japanese Patent Office (JPO) in a counterpart application (JP 2003-174465) citing such documents, together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the JPO. Also, partial English-language translations of both references are provided, along with a full mechanical translation of JP 09-023598 obtained from the JPO.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees (with the exception of the Issue/Publication Fees) to our Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,



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Substitute for Form PTO-1449

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTS***Complete if Known*

Application Number	10/561,215
Confirmation Number	4045
Filing Date	December 19, 2005
First Named Inventor	Masafumi SAKUMA
Art Unit	2834
Examiner Name	Not yet assigned
Attorney Docket Number	Q91310

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**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
	AA				
	AB				
	AC				
	AD				
	AE				
	AF				
	AG				
	AH				
	AI				

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
	AJ	JP	09-023598	A	01-21-1997	Matsushita Electric Ind. Co., Ltd.	Partial
	AK	JP	50-107409		08-23-1975		Partial
	AL						
	AM						
	AN						
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
	AU		
	AV		
	AW		
	AX		
	AY		
	AZ		

Examiner Signature:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language translation is attached.